



UNITED STATES PATENT AND TRADEMARK OFFICE

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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/935,294	08/22/2001	Stephen E. Silverman	76125.00101

CONFIRMATION NO. 8790

## FORMALITIES LETTER



\*OC000000006782646\*

Charles N. Quinn, Esq.  
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 2000 Market Street, 10th Floor  
 Philadelphia, PA 19103-3231

Date Mailed: 09/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$866.
 

10/02/2001 NMOHAMM1 00000036 501943 09935294	
01 FC:201	355.00 CH
02 FC:203	18.00 CH
03 FC:202	280.00 CH
04 FC:204	135.00 CH
05 FC:205	65.00 CH

  - \$36 for 2 total claims over 20.
  - \$560 for 7 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1706.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

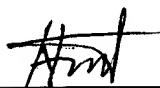
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

- Drawings must be in dark ink (not pencil), except where color drawings or photographs are permitted.

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*A copy of this notice **MUST** be returned with the reply.*

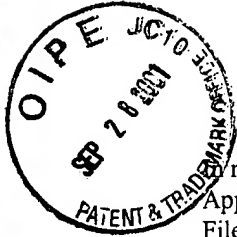
A handwritten signature in dark ink, appearing to be 'H. M.' or similar, written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Re application of: Silverman, Stephen E.; Silverman, Marilyn  
Application No.: 09/935.294 Group No.: 3736  
Filed: August 22, 2001 Examiner: TBA  
For: METHODS AND APPARATUS FOR EVALUATING NEAR-TERM SUICIDAL RISK USING  
VOCAL PARAMETERS

Box Missing Part  
Assistant Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed September 24, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.  
SMALL ENTITY STATUS

III. Applicants claim small entity status under 37 CFR 1.27.  
COMPLETION FEES

IV.

1. Filing Fee

Original patent application  
(37 C.F.R. Section 1.16(a)) \$355.00

CERTIFICATE OF MAILING (37 C.F.R. SECTION 1.10)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING


☒ deposited with the United States Postal Service  
"Express Mail - Post Office to Addressee"  
service under 37 C.F.R. 1.10 on the date  
indicated below, and is addressed to the  
Commissioner for Patents, Washington, D.C.  
20231.

Express Mail: EL928942715US

Date: September 28, 2001

FACSIMILE

☐ transmitted by facsimile to the Patent and  
Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

  
Signature

Sherry Barag  
(type or print name of person certifying)

2. Fees for Claims

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$280.00
Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$18.00
Multiple dependent claim(s) (37 C.F.R. Section 1.16(d))	\$135.00

3. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00
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Total Completion Fees	\$853.00
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**EXTENSION OF TIME**

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**TOTAL FEE DUE**

VI. The total fee due is:

Completion fees	\$853.00
Extension fee (if any)	\$0.00
Total Fee Due	\$853.00

**PAYMENT OF FEES**

VII. Charge Account No. 50-1943 in the amount of \$853.00.

A duplicate of this request is attached.

Please charge Account No. 50-1943 for any fees that may be due by this paper.

Date:

28 SEPTEMBER 01



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